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Model for the director and electric field in liquid crystal cells having twist walls or disclination lines

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Two examples of the director structure and electric field in patterned electrode liquid crystal cells are studied using a recently developed calculational model. First, a display cell that exhibits a homeotropic to multidomainlike transition with twist wall structures has been considered for a liquid crystal with positive dielectric anisotropy. The model elucidates the behavior of the electric field. Calculations show good agreement between the model and direct computer solution of the Euler–Lagrange equations, but the model is at least 30 times faster. Second, the possibility that a cell has ±1/2 disclination lines instead of a wall defect is probed. A temperature dependent estimate for the size of the defect core is given, and the total free energy of the cell with disclination lines was calculated and compared with the corresponding value for the same cell with wall defect structure.


I. INTRODUCTION

For the last several years liquid crystal displays (LCDs) have become increasingly used in laptop computers, TVs and monitors. The image quality and the resolution of LCDs have been improved. Most of the newly developed LCDs, with wide viewing angle, good color characteristics and fast response time, possess a multidimensional director distribution which means that the director \( \mathbf{n} \) depends on two or three space coordinates unlike in the case of twisted nematic displays. Among these new devices are a LCD which combines the concept of in-plane switching (IPS) with vertical alignment (VA),\(^1,5\) a display associated with a homeotropic to multidomainlike (HMD) transition,\(^6,7\) fringe-field switching devices,\(^8,9\) and a liquid crystal (LC) \( \pi \) cell with patterned electrodes.\(^10\) As LCDs become more sophisticated, accurate and effective LCD modeling methods are becoming increasingly important.

In earlier publications\(^4,5\) a model was developed to describe properties of LC cells with wall defect layers where \( \mathbf{n} \) lies in one plane and there is no twist deformation (see Fig. 1). The purpose of this work is, first of all, to generalize the model to describe a LC cell with a twist wall and, second, to modify the model to include the possibility of cells with \( \pm 1/2 \) disclination lines.

An example of a LC cell with twist wall defects is a HMD display (see Fig. 2). It has a three-dimensional (3D) director \( \mathbf{n} \) and electric field \( \mathbf{E} \). The electrodes and surface coating of the HMD display are prepared in such a way that in the absence of \( \mathbf{E} \) the LC alignment for a nematogen with positive dielectric anisotropy \( \varepsilon_0 \) is homeotropic. Strong homeotropic anchoring occurs at the cell substrates. In Sec. II a model is developed to describe \( \mathbf{n} \) and \( \mathbf{E} \) for the HMD mode for voltage differences, \( u \), that are high enough that the transmittance of light is observable. In Sec. III a simplified but still accurate way of calculating the LC alignment of a cell with \( \pm 1/2 \) disclination lines and its free energy will be described.

II. MODELING OF THE HMD LC CELL

As was shown in Ref. 7, the HMD LC cell possesses the following symmetries: (1) \( 2L \) periodicity along both the \( x \) and \( y \) directions for any variables; (2) mirror symmetry with respect to the vertical planes at \( x = \pm L/2,\pm 3L/2,\ldots \), and \( y = \pm L/2,\pm 3L/2,\ldots \); (3) “twisted symmetry,” where, for example, \( \Phi(x,y,z)=\Phi(y,x,-z) \), and (4) electric potential that satisfies the relation \( \Phi(x,y,z)=-\Phi(-x,-y,z) \). Due to these symmetries, it is enough to consider only the volume inside the right triangle prism with the triangle \( \Delta OAB \) as its horizontal cross section (see Fig. 2) and then extend the results of calculation of the director and electric field to the rest of the cell using symmetry. As has already been noted,\(^7\) two different defect structures are conceivable for the director configuration: one with a system of wall defects and the other with disclination lines. For small voltages \( u \), a simple estimate\(^7\) indicates the configuration with wall defects will occur. Also, the results of light transmittance measurements\(^6\) have been interpreted in terms of a system of wall defects, at least for the most interesting range of display voltages, \( u \lesssim 20 \, \text{V} \). Thus, for the HMD cell the director configuration

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FIG. 1. Schematic diagram of the 2D cell.
with wall defects is the most important to consider. Wall defect layers lie approximately along vertical diagonal planes like the OZ plane in Fig. 2 which is the center plane of the defect. Along these wall defect layers E is approximately perpendicular to director n, the torque due to the electric field is close to zero and the director remains close to horizontal even in the presence of E.

Taking into account these symmetries, the total free energy of the system can be written as

$$F = \int d\rho \left[ \frac{d^2}{dz^2} (f_d + f_e) - \frac{\epsilon_\perp}{\pi} \Delta_1 \right]$$

$$\times \int d\rho \left( \int_{-\infty}^{d/2} + \int_{d/2}^{\infty} \right) dz E^2,$$

where

$$f_d = \frac{K_{13}}{2} (\nabla \cdot n)^2 + \frac{K_1}{2} [n \cdot (\nabla \times n)]^2 + \frac{K_1}{2} [n \times (\nabla \times n)]^2,$$

and

$$f_e = -\frac{\epsilon_a}{\pi} (n \cdot E)^2 - \frac{\epsilon_\perp}{\pi} E^2, \quad \epsilon_a = \epsilon_\parallel - \epsilon_\perp > 0.$$ (3)

Due to symmetry, the integration over \( \rho \) in the horizontal plane can be restricted to triangle \( \Delta OAB \).

The main idea of the proposed model is to numerically solve the dynamic equation

$$\gamma_1 \partial_z n = -\delta F/\delta n$$

using the exact expression for the free energy but an approximate expression for the electric field \( E \) found in this work. Here \( \gamma_1 \) is the rotational viscosity and flow is neglected. In contrast, previously used methods of direct computer calculation (see, for example, Refs. 12–14) do not use an approximate form for the electric field but instead solve \( \nabla \cdot D = 0 \) to get the electric field after each director update, based on Eq. (4).

A. Approximation of the electric field

To find a reasonable approximation for \( E \), let us introduce high voltage \( E^h = -\nabla \Phi^h \) and low voltage \( E^l = -\nabla \Phi^l \) asymptotics as the solutions of the corresponding equations,

$$\nabla_2 \Phi^h + \frac{\partial z^2}{\partial z} \Phi^h = 0, \quad (5)$$

$$\nabla_2 \Phi^l + (\epsilon_\parallel / \epsilon_\perp) \frac{\partial z^2}{\partial z} \Phi^l = 0, \quad (6)$$

with the conditions \( \Phi^h(r) = \pm u/2 \) on the electrodes and \( E^h(r) \rightarrow 0 \) when \( |z| \rightarrow \infty \). Equation (6) is the Maxwell equation \( \nabla \cdot \mathbf{D} = 0 \) with \( n = \hat{z} \) everywhere inside the cell. Here the subscript “\( \perp \)” for a vector means its components are in a plane perpendicular to the \( z \) axis, specifically, \( \nabla_\perp = \hat{x} \partial_\perp + \hat{y} \partial_\perp \). The solution \( \Phi^h(r) \) was obtained and it is described in detail in Ref. 7 (where it was denoted \( \Phi_0(r) \)). Similar to \( \Phi^h(r) \), the solution \( \Phi^l(r) \) may be represented by a truncated Fourier series and can be produced from \( \Phi^h(r) \) with the following substitutions in the Fourier coefficients [see the text between Eqs. (49) and (50) in Ref. 7]:

$$B_{pq} = d_{pq}^{1/2} \left[ 2 + \frac{\epsilon_\parallel}{\epsilon_\perp} (\tanh d_{pq}^{1/2} - \coth d_{pq}^{1/2}) \right].$$

$$G_{mpq} = -\frac{\epsilon_\parallel}{\epsilon_\perp} d_{pq}^{1/2} \left[ \tanh d_{pq}^{1/2} - \coth d_{pq}^{1/2} \right],$$

where \( \epsilon = (\epsilon_\parallel / \epsilon_\perp)^{1/2} \), \( d_{pq} = \pi \hat{e} d(2p + 1) + 4q^{2}/2L \) and we took into account that the medium outside the LC slab is a uniform glass plate with a dielectric constant \( \epsilon_\perp \) [see the text after Eq. 60 in Ref. 7]. The low voltage potential in the glass plates is determined by formula (40) in Ref. 7, but \( \Phi_{L} = \Phi^l \) inside the LC film is determined from Eq. (39) in Ref. 7, where \( d_{pq} \) in this equation must be substituted by \( d_{pq}^{1/2} \).

As was shown before, for relatively high voltages it is possible to provide a reasonable description of the cell by dividing it into two near-substrate layers with thicknesses of \( \Delta_1 = \Delta_2 \approx 2 \epsilon_\perp \) \( [(4 \pi K_{13}/\epsilon_\parallel)^{1/2}] \) is the correlation length, where \( K_{13} = (K_1 + K_3)/2 \) and a bulk region between them where \( E \approx E^h \). On the other hand, in all the displays mentioned in Sec. I d is much less than \( L \) (usually \( d/L \approx 0.2 \)). Thus, to estimate the influence of the substrate layers on the electric field, one can neglect \( \nabla_\perp \) in the Euler–Lagrange equations for the director and electric field. Neglecting \( \nabla_\perp \) in the Maxwell equation \( \nabla \cdot \mathbf{E} = 0 \) shows that one can also neglect the \( z \) derivative of \( E_\perp \). This means that small length scale changes in the director field near a substrate do not produce the same significant changes in \( E_\perp \). In such a situation \( E_\perp \approx E^h \) even across a near-substrate layer. Omitting \( \nabla_\perp \) in the Maxwell equation \( \nabla \cdot \mathbf{D} = 0 \), one can find \( E_\perp \) from

$$\nabla \cdot \mathbf{D} = \partial_z D_\perp = 0.$$

Thus, in the first approximation \( E_\perp \approx E_\perp^{nm} \), and, after integration Eq. (7), \( E_\perp \) can be presented as

$$E_\perp^{nm} = \left[ \epsilon_{zz} E_{zz}^{nm} + \epsilon_{\perp m} (n_{\perp m} \cdot E_{zm}^{nm} n_{\perp z} - n_{\perp z} \cdot E_{zm}^{nm}) \right] \epsilon_z^{-1},$$

where \( \epsilon_z = \epsilon_\parallel + \epsilon_\perp n_z^2 \) and index “\( m \)” means that \( z = 0 \) must be taken in the corresponding value (\( z = 0 \) is the midpoint between the substrates).
When $u$ decreases, the bulk region shrinks and finally disappears at $u < u_0$, where $u_0$ can be estimated from the relation $4 \xi = d$. For the experimental set of HMD cell parameters, $u_0 = 8$ V (dark state for this display observed for $u < 5$ V). When $u < u_0$, $E_z$ deviates significantly from $E_0^A$ and formula (8) fails to describe $E_z$ properly for the important region of small $v$. The same arguments as those in Ref. 4 provide us with the following expression for $E_z(u,v,z)$:

$$E_z \approx (\varepsilon_x / \varepsilon_i) n \cdot E_x^b + E_z^b,$$

when $|v| < \delta$, where $\delta$ is the largest value of the $v$ coordinate for which the approximate relation $\varepsilon_x \approx \varepsilon_i$ is still satisfied with about 10% accuracy. The value of $\delta$ is a function of $u$ and the other cell parameters and is found in the course of solving Eq. (4). For low voltages, when $u < u_0$, $\delta$ is relatively large (it may be comparable to $l/(2)$), but when $u$ increases, $\delta$ decreases quickly and for $u > 10$ V, $\delta < \xi$ and Eq. (8) is applicable for all $v$. It is worth mentioning that formulas (8) and (9) are a direct generalization of relations (24) and (26) in Ref. 4 obtained in the course of describing the two-dimensional (2D) cell and can be produced from Eqs. (24) and (26) in Ref. 4 by substituting $n_i E_x^b \rightarrow n_i E_x^h$. As was shown in Refs. 4 and 5, for higher voltages, when $u > u_0$, $E_x^h$ for the 2D cell must be also modified in the wall’s defect region to take into account small length scale changes in the director field across the wall’s defect layer when $n$ changes from homeotropic at the center of the defect to close to planar distribution outside. According to those director variations, the largest component $E_x$ of the electric field which is perpendicular to the wall’s defect layer, also changes significantly, having a peak in the center of the wall defect layer (see Fig. 3). On the other hand, as follows from the geometry of the HMD cell, the electric field component $E_y$, perpendicular to the wall defect layer is negligible (unlike in the 2D case). The largest field component $E_z$ is now along the wall-defect layer. It causes $n$ to twist in the wall layer, but $E_y$ itself does not show any peculiarities inside the layer in Fig. 4. This means that one can neglect the influence of the wall defect layer on $E$ in this case of the twist wall defect unlike in the 2D case where $n$ bends and splays across the wall defect region.

However, it is important to take into account another feature of the electric field. In the 2D case values of $|E^h - |E^d||/|E^b|$ are small: 0.025 inside the wall defect layer and 0.2–0.25 in the region close to electrode edges. In the HMD case these values are about 0.1 and 0.6–0.7, respectively. The simplest way to take this fact into account is to approximate the electric potential $\Phi(r)$ for any voltage by

$$\Phi = \alpha \Phi^b + (1 - \alpha) \Phi^l,$$

where, in the simplest approximation, $\alpha$ is a parameter ($\alpha$ does not depend on $r$). It is clear that $\Phi$ satisfies the boundary conditions of the electrodes and when $|z| \rightarrow \infty$. After substituting Eq. (10) into the free energy $F$, one can minimize $F$ by choosing $\alpha$ as a solution to the equation $\partial \Phi / \partial z = 0$. Using Eqs. (5) and (6) for $\Phi^b$, noticing that $\Phi^b - \Phi^l = 0$ on the electrodes and $\varepsilon_2 \partial_z \Phi^b = \varepsilon_2 \partial_z \Phi^l$ on the rest of the LC–glass interface, it is possible to exclude integrals over the glass substrates and find $\alpha = B/A$, where

$$B = \int d\rho \int dz [n \cdot E^l(n \cdot E^l - n \cdot E^b) - E_z^l(E^l_z - E^b_z)],$$

$$A = B + \int d\rho \int dz [E^b(E^l - E^b) - n \cdot E^b(n \cdot E^l - n \cdot E^b)].$$

When $u \rightarrow 0$,

$$n \cdot E \rightarrow E_z, \quad B \rightarrow 0 \quad \text{and} \quad \alpha \rightarrow 0.$$ 

On the other hand, if $u \rightarrow \infty$,

$$n \cdot E \rightarrow E^b, \quad n \cdot E^b(n \cdot E^l - n \cdot E^b) \rightarrow E^b(E^l - E^b).$$

$A \rightarrow B$ and $\alpha \rightarrow 1$. Figures 5 and 6 display the voltage dependence of $\alpha$ and the $v$ dependence of $E_x$, respectively; $u \rightarrow \infty$ corresponds to $E = E^b$. 

FIG. 3. $E_z(x,z=d/2)$ at $u=14$ V in the 2D cell obtained from (a) the model, (b) direct computer solution, and (c) $E_x^b$.

FIG. 4. $E_z$ dependence on the $v$ coordinate at 14 V in the HMD cell calculated by the model and direct computer calculation for different values of the $u$ coordinate (in $\mu$m).
FIG. 6. Shapes of $E(u=0,v,z=0)$ at different voltages $u$ for the HMD cell; $u=\infty$ corresponds to $E_0^u$, and $u=0$ corresponds to $E_0^v$.

B. Boundary conditions for the director

Let us consider now the choice of boundary conditions for Eq. (4). Because of strong homeotropic anchoring at both substrates, $\mathbf{n}=\hat{z}$ at $z=\pm d/2$. The choice of boundary conditions along the UZ or VZ planes is not obvious. One can choose, of course, a larger volume for the director description, for example, a rectangular prism with the rectangle $ABB_1A_1$ of Fig. 2 as its horizontal cross section, and then use mirror symmetry to derive appropriate conditions for $\mathbf{n}$ along the vertical planes at $x=\pm L/2$ and $y=\pm L/2$. However, this would decrease the speed of the calculation drastically (more than 10 times). To find $\mathbf{n}$ in the smallest possible region of the HMD cell (the triangle prism), it is convenient to describe its symmetries as follows. First of all, the symmetry of the electric field can be represented in the following way:

$$E(r') = R^x_u E(r), \quad r' = R^x_u r,$$
$$E(r'') = -R^y_u E(r), \quad r'' = R^y_u r,$$  (11)

where $r$ is an arbitrary point and $R^x_u$ is a rotation by $\pi$ about the $\alpha$ axis: $\alpha=\pi u$ or $v$ means a rotation around the $U$ or $V$ axis of the UVZ coordinate system produced by rotating the XYZ system $45^\circ$ around the $Z$ axis counterclockwise (see Fig. 2). The corresponding symmetry relations for $\mathbf{n}$ are

$$n(r') = \pm R^x_u n(r), \quad r' = R^x_u r,$$
$$n(r'') = -\pm R^y_u n(r), \quad r'' = R^y_u r,$$  (12)

and they differ from Eqs. (11) due to uncertainty in the signs, because of $\mathbf{n} \rightarrow -\mathbf{n}$ equivalence. To take into account all those possibilities, a tensor or $Q$ representation for the Frank free energy density, is used. Inside the LC cell with

$$f_d = \frac{1}{12}(K_1-K_3) s_0^{-2} G_1^{(2)} + \frac{1}{2}(K_1-K_2) s_0^{-2} G_2^{(2)}$$
$$+ \frac{1}{4}(K_1-K_1) s_0^{-3} G_0^{(3)},$$  (13)

may be used. Here $G_1^{(2)} = Q_{ikk}^{(2)}$, $G_2^{(2)} = Q_{ikk}^{(2)}$, $G_0^{(3)} = Q_{ikl}^{(3)}$, and $Q_{ik} = Q_{i}^{\text{Frank}} = s_0(n_{ik} - \frac{1}{3} \delta_{ik})$.  (15)

Here $s_0$ is a scalar order parameter which is assumed to be spatially constant but to vary with the temperature in the nematic phase. In this representation, the boundary conditions for $Q_{ik}$ are completely determined. Along the UZ plane

$$Q_{ik}(r') = R^x_u Q_{ik}(r), \quad \text{for } ik = uv, \quad uv, \quad uz, \quad zz,$$
$$Q_{ik}(r') = -R^x_u Q_{ik}(r), \quad \text{for } ik = u, \quad uz,$$  (16)

and along the VZ plane

$$Q_{ik}(r'') = R^y_u Q_{ik}(r), \quad \text{for } ik = uv, \quad uz, \quad vv, \quad zz,$$
$$Q_{ik}(r'') = -R^y_u Q_{ik}(r), \quad \text{for } ik = uv, \quad vz.$$  (17)

The same $Q$ representation of the Frank free energy can be applied, of course, to the 2D cell which combines the concept of IPS with $\text{VA}$ (see Fig. 1). The symmetry for the electric field and director for that cell may be described as follows:

$$E(-x,z) = -R^z_u E(x,z),$$
$$n(-x,z) = \pm R^z_u n(x,z),$$  (18)

where $R^z_u$ is the rotation by $\pi$ around the $z$ axis. Again, using the tensor representation, Eqs. (13)–(15), the boundary conditions for $Q_{ik}(x,z)$ along the $x=0$ line can be established as

$$Q_{ik}(-x,z) = Q_{ik}(x,z),$$
$$Q_{ik}(-x,z) = -Q_{ik}(x,z),$$  (19)

where $ii = xx$ or $zz$.

Application of the $Q$ representation to the HMD model with the boundary conditions, Eqs. (16)–(17), produces director configurations with wall defects at least for $u<30 \, \text{V}$, if an initial director distribution along the $UZ$ plane does not deviate significantly from homeotropic. As our calculations show, in all such situations the resulting director and electric field distributions coincide with the corresponding distributions which can be found if one uses the more common vector representation, Eqs. (1)–(3), and the following boundary conditions for the director:

$$n(-x) = \pm R^x_v n(x),$$
FIG. 7. $n_{x}(u,v,z=0)$ in the HMD cell at 7 V, calculated by the model and direct computer calculation for different values of the $u$ coordinate (in $\mu$m).

\[ n(r') = -R_{c}^{2}n(r), \quad r' = R_{c}^{2}r, \]

\[ n(r'') = -R_{c}^{2}n(r), \quad r'' = R_{c}^{2}r, \]

(20)
along the $UZ$ and $VZ$ planes. Thus, for the voltage range most relevant for display applications, one can use the simpler and about 1.7 times faster vector representation for director calculation inside the triangular prism volume and use boundary conditions, Eqs. (20).

Exactly the same situation occurs for the 2D model. Application of the tensor representation with boundary conditions, Eqs. (19), along the $z$ axis shows that the resulting director distributions correspond to a wall defect structure, if an initial director distribution deviates not very far from homeotropic along the $x=0$ line. In such a situation, again, the vector representation (or even tensor representation, when one chooses $n = \hat{x} \sin \theta - \hat{z} \cos \theta$) with the boundary condition

\[ n(-x,z) = R_{c}^{2}n(x,z) \]

(21)
along the $z$ axis may be applied, with the same results.\(^{4,5}\)

However, in both HMD and 2D cases, in principle, an alternative director configuration with $\pm 1/2$ disclination lines is possible. Using the 2D cell as an example, LC alignment and the corresponding free energy for the director configuration with disclination lines will be calculated and analyzed in detail in Sec. II C.

C. Results of the director calculations for the HMD cell

The results of the director calculation for the HMD cell are illustrated in Figs. 7–9. We have used the set of experimental cell parameters from Ref. 6. To compare this model with other methods of director calculation, the usual relaxation method for computing the director and electric field was also developed for this 3D LC cell. Figures 7–9 show $n_{x}(u,v,z=0)$ as a function of $v$ for different values of the $u$ coordinate and different voltages. For all these figures we calculated director components in two different ways: our model (taking into account the corrections described above) and direct computer calculation (relaxation method). The figures show good agreement between the model and direct computer calculation. The difference between the two results is usually within 3%–4% for all coordinates.

It is clear that the model must be faster than direct computer calculation for the following reason. As follows from the solutions $E_{h,c}$ outside the LC cell,\(^{7}\) the decay of the largest (lowest) harmonics of the electric field is determined by a factor $\exp(-\pi|z|/2L)$. Because $d/L < 0.2$, it needs about $L/d$ more mesh points in the $z$ direction outside the cell than inside it, which increases the calculation time significantly.\(^{18}\) However, the gain in calculation speed $M$ (how much faster the model is compared to direct computer calculation) depends on several factors. It depends on the dimensionality of $n$ and the type of director representation (tensor, vector or $\theta$ representation). Our calculation shows that $M$ depends also on the method of calculation applied during simulations (for example, the method of simultaneous substitutions or the method of successive substitutions).

In the case of the 2D model where $n$ lies in one plane, $\theta$ representation was used for both the model and direct com-
computer calculation. In this situation $M$ is about 30–50 ($M$ is larger for higher voltages) when the method of simultaneous substitutions is applied. Use of this method is appropriate to investigate the real (rotational) dynamics of $\mathbf{n}$ toward the equilibrium configuration. However, calculations show that if one switches to the faster method of successive substitutions with an appropriate choice\textsuperscript{18} of the overrelaxation constant, $\omega$ (simply to find the final director distribution), direct computer calculation becomes about two times faster than simultaneous substitution. However the model improves even more and $M$ is in the range of 130–300 (in this case $M$ is smaller for higher $u$).

In the case of the HMD cell in the vector representation and using the method of simultaneous substitutions, the model gives $M \approx 25–40$. After switching to the method of successive substitution with a proper $\omega$, direct computer calculation becomes four to six times faster, a larger increase in speed than in the 2D case. But the model increases its calculational speed about 8–12 times, leading to $M \approx 60–80$ for all voltages ($M$ is slightly higher for higher $u$ unlike in the 2D case).

III. DETERMINING LC ALIGNMENT IN A CELL WITH $\pm 1/2$ DISCLINATION LINES

An interesting and important example of application of the model is to calculate the LC alignment for a possible configuration with two $\pm 1/2$ disclination lines and its free energy $F_{\text{lines}}$ using the 2D cell in Refs. 1–5 as an example. Figure 10(a) shows schematically the director distribution in the region along the $x=0$ plane with disclination lines that are perpendicular to the $xz$ plane at points $z=\pm z_1$ and $z=d-z_1$ with distance $z_1$ to be found and Fig. 10(b) illustrates the director pattern in the vicinity of the $+1/2$ line defect. Frank theory (either in vector or in tensor representation) cannot properly describe the director configuration inside the defect’s core region. In particular, the elastic Frank free energy diverges\textsuperscript{11} if one chooses a finer mesh.

To calculate the LC alignment and $F_{\text{lines}}$ properly, Landau–de Gennes theory with free energy density,

$$f_L = \frac{1}{2}L_1G_1^{(2)} + \frac{1}{2}L_2G_2^{(2)} + F_b(Q) - F_b(Q^f) - CE_iO_{ik},$$

may be used inside region $ABCDC_1B_1$ in Fig. 10(b). Here $Q = Q_{ik}$ is a symmetric and traceless tensor order parameter, $G_1^{(2)}$ and $G_2^{(2)}$ are determined by the expressions following Eq. (13), and

$$F_b(Q) = \frac{1}{2}\alpha Tr Q^2 - \beta Tr Q^2 + \gamma (Tr Q^2)^2.$$  \hspace{1cm} (23)

In Eqs. (22) and (23) $\alpha = a(T-T^*)$, $a$, $\beta$, $\gamma$, $L_1$, $L_2$ and $C$ are constants and $T^*$ is the lowest temperature to which one could supercool the isotropic phase. Typical experimental values of $a$, $\beta$, $\gamma$ and $T^*$ are shown in Refs. 19–21. Outside the defect core $Q$ must coincide with the uniaxial Frank form, Eq. (15), which means that $F(Q) - F(Q^f)$ disappears far from the core region. The nematic scalar order parameter far from the defect core, $s_0$, can be found from the equation $\partial s_0 F_b(Q^f) = 0$. The result is

$$s_0 = \frac{3\beta}{16\gamma} \left( 1 + \frac{32\alpha \gamma}{3\beta^2} \right)^{1/2}.$$ \hspace{1cm} (24)

As is known, this form of Landau–de Gennes free energy density leads to $K_1 = K_3 = (2L_1 + L_2)s_0^2$, and we approximated these values by $(K_1 + K_3)/2$ with experimental values\textsuperscript{6} of $K_1$ and $K_3$ during calculation of the LC alignment inside the core. Comparison of Eqs. (13), (14) and (22) shows that in such an approximation $L_1$, $L_2$ and $C$ may be chosen as $L_1 = K_2/(2s_0^2)$, $L_2 = (K_1 - K_3)/s_0$ and $C = e_u/(8\pi s_0)$.

Outside the defect core the Frank theory is correct. Moreover, because the director configuration with disclination lines is more likely to occur at high voltages for which our model is especially accurate,\textsuperscript{4,5} the model approach can be used to calculate the director configuration outside the defect core.

To create the resulting director distribution shown in Fig. 10, a particular initial director distribution must be chosen for the 2D cell under consideration. A key feature of the initial alignment is that the angle $\phi_{\text{init}}(0,z)$ between $\mathbf{n}$ and $\hat{z}$ along the $x=0$ line must be nonzero, namely, $\phi_{\text{init}}(0,z) \geq \phi^* \approx \pi/4$ when $z_1 \leq z \leq d-z_1$, and $\phi_{\text{init}}(0,z) < \phi^*$ outside this interval [$\phi_{\text{init}}(0,0) = \phi_{\text{init}}(0,d) = 0$ for this cell].

Landau–de Gennes theory was applied in Ref. 22 in the vicinity of the nematic–isotropic transition, when $|T-T^*| \approx 1$ K. The important result that was found is that the core is biaxial\textsuperscript{23} and does not consist of isotropic fluid, as was previously assumed.\textsuperscript{24} This result was also confirmed by Monte Carlo simulation,\textsuperscript{25} where molecules were represented as hard spherocylinders with aspect ratios less than or equal to 8. However, the description of LC alignment in Ref. 22 was given for the case of zero external field, and, thus, did not consider the LC alignment outside the defect’s core. The purpose of the present investigation is to provide a description of LC alignment throughout the whole cell (using Frank theory outside the core), and properly estimate $F_{\text{lines}}$ for characteristic temperatures $T$ of LC display applications, when $T-T^* < -10$ K. First of all, it is convenient to rewrite $f_L$ in dimensionless variables, measuring space coordinates in units of $(L_1 \gamma \beta^2)^{1/2}$ and $F_L$ in units of $\beta^2/\gamma$. The free energy density will have the same form as Eq. (22) but with...
the following substitutions: $L_1 \rightarrow 1$, $L_2 \rightarrow C_2 = 2(K_1 - K_2)/K_2$, $\alpha \rightarrow \alpha = x/y$, $\beta \rightarrow \beta = y$, $\gamma \rightarrow \gamma = \beta^2$, and $C \rightarrow C = \epsilon_s/(8\pi S_0 \beta^2)$. Choosing $Q_{xx}$, $Q_{xy}$, $Q_{xz}$, $Q_{yz}$ and $Q_{zz}$ as independent variables, one can write the Euler–Lagrange equations in terms of those variables and then solve them numerically using the relaxation method and boundary conditions to provide the correct asymptotic behavior at large distance $r$ from the core. These boundary conditions will be discussed later after we describe the asymptotic behavior of $Q_{ik}$. For this particular LC cell it is possible to speed up calculation of $Q_{ik}$ by solving the Euler–Lagrange equations only on the right $ABC$ region (see Fig. 10) of the whole defect region using the symmetry of the cell which gives us the following relations: $Q_{ii}(-dr,z) = Q_{ii}(dr,z)$, where $i = x$, $y$, $z$, and $Q_{xy}(-dr,z) = Q_{xy}(dr,z)$, $Q_{zz}(-dr,z) = -Q_{zz}(dr,z)$, $Q_{yz}(-dr,z) = -Q_{yz}(dr,z)$ [see also Eq. (19)]. Here $dr$ is a mesh step inside the core region which was chosen to be the same for both $x$ and $z$ directions. To obtain the correct boundary conditions for solving the Euler–Lagrange equations, we assume that far from the center $O$ of the core region, in particular, along its border of $ABC$, $Q_{ik}$ has its Frank form,

$$
(s_0 + y)(n_0 n_k - \frac{1}{2} \delta_{ik}),
$$

(25)

where $y(r)$ is small with respect to $s_0$ and $n_{ex}$ are close to values of $n_s = \sin(\theta/2)$, and $n_g = -\cos(\theta/2)$ in cylindrical coordinates $r$, and $\theta$ with the center at $O$. Like in Ref. 4, we represent the director $n$ for $x > 0$ (and outside the core) as $n = \hat{x} \sin \phi - \hat{z} \cos \phi$, where $\phi$ is the angle between $n$ and $\hat{z}$. In order to describe the asymptotic behavior of $Q_{ik}$, it is convenient to rewrite $f_{ii}$ in cylindrical coordinates in the asymptotic region, where the dimensionless coordinate $r$ is large and $Q_{ik}$ has its Frank form, Eq. (25). The resulting Euler–Lagrange equation for $y(r)$ can be written as

$$
\hat{L}_y - N_{0y}^2 r y = \frac{1}{r} \left( \frac{1}{2} b \cos \theta + \delta \right) s_0.
$$

(26)

In this equation $\hat{L}_y = \hat{L}_r y + \hat{L}_r y$ with

$$
\hat{L}_r y = (1 + b \cos \theta) (y_y + y_y r) - 2 b \sin \theta y_{\theta \theta},
$$

(27)

and

$$
\hat{L}_r y = b \left( \frac{1}{r} \sin \theta y_{\theta} - \cos \theta y_{\theta r} \right),
$$

(28)

and

$$
b = \frac{\frac{3}{2} + 5}{C_{12}}, \quad N_{0y}^2 = \frac{12}{C_{12}} [\frac{1}{2} + \frac{32}{C_{12}} \delta_{0y}^2 + \frac{9}{C_{12}} (1 + C_{12}/2)],
$$

(29)

where $C_{12} = 12 + 5 C_2$, $y_y = \partial_{yy}$, $y_{\theta} = \partial_{\theta} y$, $y_{\theta r} = \partial_{r \theta} y$, and $y_{\theta \theta} = \partial_{\theta \theta} y$. A solution to Eq. (26) is given by $y = y_0 + y_1$, where $y_0$ is a general solution of the homogeneous equation,

$$
\hat{L}_y - N_{0y}^2 r y = 0,
$$

(30)

and $y_1$ is a particular solution of the inhomogeneous equation,

$$
\hat{L}_y - N_{0y}^2 r y_1 = \frac{1}{r} \left( \frac{1}{2} b \cos \theta + \delta \right) s_0.
$$

(31)

If $b = 0$, which corresponds to the one constant approximation ($K_1 = K_2 = K_3$), the $\theta$ dependence disappears in Eqs. (26)–(31), and Eq. (30) reduces to the modified Bessel equation,

$$
y_{\theta r} + r y_{\theta r} - N_{0y}^2 r y_0 = 0.
$$

(32)

One of the two independent solutions of Eq. (32), $K_0(N_0 r)$ [where $K_0(z)$ is the modified Bessel function of zero order], decreases exponentially with asymptotic behavior,

$$
y_{\theta r} \approx \frac{c}{r} \exp(-N_0 r), \quad c = \text{const},
$$

(33)

at $r \to \infty$. In a more realistic situation in which $b \neq 0$, the decreasing solution of Eq. (30) picks up $\theta$ dependence, and the asymptotic form is

$$
y_{\theta r} \approx \frac{c}{r} \exp(-N_0 r), \quad c = \text{const}.
$$

(34)

Substituting Eq. (34) into Eq. (30) and keeping the leading terms for large $r$, one comes up with the following equation for $N(\theta)$:

$$
(1 + b \cos \theta) N^2 - 2 b \sin NN_0 + (1 - b \cos \theta) N_0^2 = N_0^2,
$$

(35)

where $N = \partial_{\theta} \theta$. The desired solution of Eq. (35) must also satisfy the following conditions: $N(\theta + 2\pi) = N(\theta)$, $N(\theta) > 0$ for all $\theta$. The exact solution is

$$
N(\theta) = N_0 [p + q \cos \theta],
$$

(36)

where $p = [(1 + b)^{1/2} - (1 - b)^{1/2}]/2$ and $q = [(1 + b)^{1/2} + (1 - b)^{1/2}]/2$. For experimental values $K_1 = 1.32$, $K_2 = 0.65$ and $K_3 = 1.83$ (in units of $10^{-6}$ dyne), $C_2 = 2.89$ and $b = 0.33 < 1$, which gives $p = 1.04$ and $q = -0.17$.

The particular solution of inhomogeneous Eq. (31) can easily be found as a series in $r^{-2}$: $y_1(r, \theta) = y_1^{(0)}(r, \theta) + y_1^{(1)}(r, \theta) + \ldots$, where

$$
y_1^{(0)} = -\frac{1}{N_0^2 r} \hat{L}_y^{(0)} \left( \frac{1}{2} b \cos \theta + \delta \right),
$$

(37)

$$
y_1^{(i)} = \frac{1}{N_0 r} \hat{L}_y^{(i)} \left( \frac{1}{2} b \cos \theta + \delta \right),
$$

(38)

A characteristic ratio $|y_1^{(i)}/y_1^{(0)}|$ can be approximated as $4/(N_0 r)^2$ and is relatively small for $r \gg 10$, taking into account that usually $N_0$ is between 1 and 2.

Our estimates and calculations show that for $r \approx 10$ the exponential (homogeneous) part $y_0$ of the complete solution $y = y_0 + y_1$ becomes negligible with respect to $y_1$, which means that the final asymptotic behavior for large $r$ can be approximated by $y \approx y_1^{(0)}$. Taking into account the approximate form of Eq. (25) for $Q_{ik}$ and the asymptotic behavior of Eq. (37) of $y(r, \theta)$, one can write that, at large $r$, $\hat{y}_r \approx -2 \hat{r} y = -2 \hat{r}(s - s_0)$, or, multiplying this relation by the factor $(n, n_k - \delta_{ik})/3$, the following relation between $Q_{ik}$ and its radial derivative at some $r = r_c$ can be written:

$$
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\[ \partial_t Q_{ik} = -\frac{2}{\kappa e} \left[ Q_{ik} - s_0 \left( n_i n_k - \frac{1}{3} \delta_{ik} \right) \right]. \]  

This relation was used as the boundary condition in the course of solving the Euler–Lagrange equations inside region \( ABCD \) and \( r_c = r_c(\theta) \) describes the contour \( ABCD \) when \( \theta \) varies from 0 to \( \pi \) \( [r_c(0) \text{ corresponds to } A] \).

Let us now estimate \( r_c(\pi/2) = dx \), a characteristic dimension of the defect core. When \( y \) is nonzero in the vicinity of contour \( ABCD \), Frank coefficients \( K \) acquire additional factors of order \( s/s_0 \), where \( s/s_0 = 1 + y/s_0 \) in the region adjacent to the defect core. If we assume that \( |y/s_0| \leq \Delta s \), a small number, it will also affect the director distribution in that adjacent region with the same order of magnitude \( \Delta s \). However, our calculations show that if the director distribution along \( ABCD \) changes by 0.1%–1%, the entire free energy changes only by 0.01% or less, which means that the impact of this initial director deviation also decreases away from the defect region. Taking this information into account, we choose \( \Delta s = 0.001 \) which gives the following estimate:

\[ dx = \frac{1}{N_0} \left( \frac{\delta}{\Delta s} \right)^{1/2} \]  

(we neglected 0.5\( b \cos \theta \) with respect to \( \delta \) for simplicity). To estimate this size in real units, one has to multiply Eq. (39) by the scaling factor \( (L_1/\gamma \beta^2)^{1/2} \). Using Eqs. (24), (29) and (39), one finds that, for example, at \( \Delta T = -40 \) \( K \), \( dx = 12 \), or, in unscaled units of length, 100 \( \AA \). Thus, for the temperature range of \(-70 \text{ K} \leq \Delta T \leq -30 \text{ K} \), which is typical for display applications, \( dx \approx 100 \text{ Å} \). It is worth mentioning that there is always an “inner” core (with radius of about \( dx/3 \)) where \( Q_{ik} \) deviates significantly from its Frank form.

Because the electric correlation length \( \xi \) is more than \((0.2–0.25) \mu m \) for the most relevant voltage range of \( u < 100 \text{ V} \), the length scale of varying LC alignment inside the core region is about 100 times smaller than outside it. In the other words, the characteristic (elastic) free energy density inside the core which is of order \( K/(dx)^2 \), is three to four orders of magnitude larger than the characteristic free energy density \( K/\xi^2 \epsilon \varepsilon_0 \) created by applying electric field \( E \). This comparison shows that one can determine LC alignment inside the core region of a disclination line (described by values of \( Q_{ik} \)) independently and prior to Frank calculation of the director outside the core region. The accuracy of values of \( E \) inside the core does not affect the accuracy of this calculation significantly. By minimizing the free energy, Eq. (22), with respect to \( Q_{ik} \), using Eq. (25) and neglecting \( y \) along the border of \( ABCD \), one can determine director angle \( \theta \) along this border, particular by at points \( B \) and \( C \), from

\[ \theta = \arcsin \left( Q_{xx} s_0 + 1/3 \right)^{1/2}. \]  

We found that values of \( \phi \) at those points differ by about 1% from the values \( \phi = \theta/2 \) (22.5° and 67.5° at points \( B \) and \( C \), respectively). It is worth mentioning that not taking into account Landau–de Gennes theory inside the core region, and instead using only the Frank theory everywhere inside the LC cell, would give incorrect values of the director at least in the region adjacent to the defect’s core. Our calculations show, for example, that the values of \( \phi \) at points \( B \) and \( C \) obtained using the Frank free energy everywhere inside the LC cell differ by 20%–30% from the Landau–de Gennes values.

Because the shape of the defect core is roughly a circle (see also Ref. 22) and due to the symmetry of this particular cell, we used mesh step \( dz = 2dx \) along the region adjacent to the \( x = 0 \) line. A variable mesh with an increase in mesh step in the \( x \) direction was used to expedite director calculation in such a way that at a distance of \( \Delta x = 2\xi \) from the core region in the \( x \) direction an approximate relation of \( dx = 2dz \) is satisfied. This is reasonable, because away from the defect core \( \theta \) varies smoothly. Inside the core region the mesh step in both the \( x \) and \( z \) directions was chosen to be \( dr = dz/n \), where \( n = 20 \). Increasing \( n \) several times does not affect the results appreciably.

Calculations of \( Q_{ik} \) inside the core show that the behavior of its eigenvalues for \( \Delta T \leq -10 \text{ K} \) is qualitatively the same as for \( \Delta T = -1 \text{ K} \), which was shown in Ref. 22. The region where those eigenvalues and free energy density \( f_L \) differ significantly from their asymptotic values, however, shrinks when the temperature decreases in accordance with our estimate, Eq. (39), and with Eq. (24). Figure 11 illustrates a comparison between total free energies of the director configurations with a wall defect structure (\( F_{\text{wall}} \)) and with two disclination lines (\( F_{\text{lines}} \)). As is seen, the critical voltage \( u_{\gamma} \), at which this difference changes sign, is estimated to be about 24–25 \( V \). For \( \Delta T < -30 \text{ K} \) the relative difference \( (F_{\text{wall}} - F_{\text{lines}})/F_{\text{wall}} \) does not depend significantly on the temperature, which is clear from Fig. 11. We have also found that the total free energy \( \Delta F_{\text{lines}} \) inside the defect region depends very weakly on parameters \( a \), \( \beta \), and \( \gamma \) of Landau–de Gennes theory. In particular, independently changing \( \beta \) and \( \gamma \) by a factor of 2 produces only about a 5% change in \( \Delta F_{\text{lines}} \), and a change in \( a \) by a factor of 2 affects \( \Delta F_{\text{lines}} \) less than 1%. Because the ratio of \( \Delta F_{\text{lines}}/F_{\text{lines}} \) itself is small (about 0.06 at \( u = 15 \text{ V} \) and decreases inversely proportional to \( u^2 \)), one can conclude that reasonable changes in \( a \), \( \beta \), and \( \gamma \) cannot significantly alter the curves in Fig. 11, nor in particular, \( u_{\gamma} \). Because those parameters sometimes are not known exactly (unlike Frank constants), this obser-
viation makes the results of the estimation of $u_o$ more reliable even taking into account that Landau–de Gennes theory becomes only qualitatively correct for such small temperatures.

As was mentioned earlier [see the text that follows Eq. (24)], the Landau–de Gennes free energy density [Eq. (22)] leads to $K_1=K_2$, which is inconsistent with experimental observations. As was shown in Ref. 15, including the third-order term $L_3G_6^{(3)}$, where $L_3=(K_3-K_1)/4s^6$, in the elastic free energy removes this degeneracy and reproduces the experimentally observed $T$ dependence of the Franck elastic constants (at least for one LC material, PAA). Including the $L_3G_6^{(3)}$ term does not qualitatively change our calculational scheme, but it does produce the following changes in Eqs. (26)–(37): $b\rightarrow b_3=3(C_2+2C_{30})/C_{123}$, $\lambda\rightarrow \lambda_3=(12\alpha+32\phi_0^3)/C_{123}$, $\delta\rightarrow \delta_3=(9/2)(1+C_2+2C_{30}/6)$ $-9/4C_{30}/C_{123}$, where $C_{123}=12+5C_2+2C_{30}$ and $C_{30}=K_3-K_1/K_2$. The estimate for $\Delta$ [Eq. (39)] keeps the same form with the change \[ \Delta=\delta_3=9/2(1+C_2/2 + C_{30}/6)/C_{123}. \]

The solution of the Euler–Lagrange equations for $Q_{ik}$ is qualitatively the same. These modifications, however, produce no visible changes in Fig. 11.

All calculations inside the defect core reported here (e.g., the total free energy) were made for the 2D cell. However, this way of determining the LC alignment in the core region can be applied to other cells with disclination lines such as a $\pi$ cell with a 2D director. If there is no symmetry (only periodicity in one direction), like in the case of a $\pi$ cell, where the pretilt angle at the substrates differs from 0 and $\pi$, one has to solve the Euler–Lagrange equations for $Q_{ik}$ in the whole defect region of $ABCD$. The calculations show good agreement with the director structure with disclination lines in the HMD cell. However, the model is much faster. In the case of 3D cells like HMD, the calculations show good agreement when the director lying in one plane. The simplified model provides an alternative to the traditional method of direct computer solution of Euler–Lagrange equations for the director and electric field in analyzing the behavior of liquid crystal cells. The model is applied to describe a 3D director in a LC cell which exhibits a homeotropic to multidomainlike transition. The calculations show good agreement between the model and direct computer calculation of the director. However, the model is much faster. In the case of using the method of successive displacement with an appropriate choice of overrelaxation constant for both the model and direct computer calculation the model is about $\approx$ 100 times faster in the 2D case and 20 times faster in the HMD cell.

An approximate but still accurate method of treating a LC cell with $\pm 1/2$ disclination lines using Landau–de Gennes theory inside the disclination core region was developed. It was shown that deviation of the tensor order parameter from its Frank form far from the core center decreases as $1/r^2$, where $r$ is the distance from the center, and has azimuthal dependence. A temperature dependent estimate for the size of the defect core was found. The free energy of a cell with disclination lines was calculated and compared with the corresponding value for the same cell with a wall defect structure. For typical values of parameters of Landau–de Gennes theory, the director structure with disclination lines...
becomes preferable for $u > 24–25$ V. However, the role of cell symmetry may be important in that the phase transition into the energetically preferred state may not occur even for reasonably high voltages, and the system can be trapped in a metastable state.

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17. The number of symmetry allowed independent $G^{(2)}$ quantities is three and the number of $G^{(3)}$ terms is six. For the special case where $s_0$ is spatially constant and strong anchoring prevails, only three terms are independent (two $G^{(2)}$’s and one $G^{(3)}$). The analysis of Schiele and Trimper (Ref. 15) of the temperature dependence of the elastic constants indicated that the $G^{(3)}$ term should be $G^{(3)}_6$, leading to the form given in Eq. (13).